PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO Complete if Known **Application Number** 10/017,068 INFORMATION DISCLOSURE Filing Date December 13, 2001 STATEMENT BY APPLICANT PERKINS, RODNEY A., et. al. **First Named Inventor** Art Unit 3763 (use as many sheets as necessary) **Examiner Name** Unassigned 017534-000730US Page Attorney Docket Number

			U.S. PATENT D	OCUMENTS	
		Document Number			
Examiner	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
129	, AA	US-5,928,264	07-27-1999	Sugarbaker et al.	
XX	AB	US-5,957,919	09-28-1999	Laufer	
74					

		FOREIGN PA	TENT DOCUME	NTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lir Where Relevant Passages or Releva	
		Country Code Kind Code (if known)			Figures Appear	ar '
						ᆛ片
						ᆉ片
		NON PATENT LIT	ERATURE DOC	UMENTS		
Examiner Initials *	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
XX	AC	BOLLIGER et at. "Evaluation of high risk lung resection candidates: pulmonary haemondynamic versus exercise testing. A series of five patients." Respiration (1994) 61(4):181-186				
	AD	MELENDEZ et al., (abstract) "Predictive respiratory complication quotient predicts pulmonary complications in thoracic surgical patients" <i>Annals of Thoracic Surgery</i> (12/1998) 66(6):2164.				
	AE McKENNA et al., (abstract) "Patient selection criteria for lung volume reduction surgery" Journal of Thoracic and Cardiovascular Surgery (12/1997) 114(6):957-964.					
		1				

60007233 v1

	101		1 /	
Examiner Signature	Willed Showan	Date Considered	12/04.	
	100-11-11-11-11-11-11-11-11-11-11-11-11-			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.